## **Referral Checklist (Social, Emotional, Behavioral)**

RTI components and indicators	Comments
Have parents been notified and are background issues (e.g., recent	
stressors/traumas) been identified that might explain transient versus more	
chronic adjustment problems?	
Have parents been notified about concerns in school and have they been	
working with school staff to assist with the emotional and or behavioral	
difficulties?	
Is there evidence that the student has clinically significant emotional and or	
behavioral concerns? (Consider screening with BASC-2 or other	
standardized screening measure)	
Has instruction been differentiated and provided at student's instructional	
level? (Academic difficulties that motivate escape behavior are not the	
source of emotional and or behavioral difficulties.)	
Has the student received at least three trials of evidence based behavioral and	
or emotional interventions targeted to his/her needs?	
Tier 2 (General intervention e.g., teacher behavior report card,	
group counseling)	
based on functional behavior assessment (FBA) and implemented	
behavior intervention plan. Direct interventions such as	
individual counseling are another example of Tier 3.	
Have planned emotional/behavioral intervention strategies been	
implemented as designed/shown to be effective?	
Does the student have academic difficulties? If so has the student	
participated in core instruction and intervention on a regular basis? If not,	
why?	
Was behavioral/emotional progress monitored systematically?:	
Structured classroom observations	
Direct Behavior ratings (1-10 ratings of behavior targets)	
Ongoing completion of screening checklists	
Other	
Were interventions modified when lack of behavioral improvement was	
evident?	
Are intervention strategies documented?	
Does the student evidence a lack of improvement (e.g., Based on multiple	
administrations of screening measures, structured observation and or graphed	
Direct Behavior Ratings)?	
Are there unaddressed vision and or hearing issues that contribute to	
emotional/behavioral difficulties?	
Has principal conferred with data team and approved this referral?	1

Principal signature \_\_\_\_\_

Date \_\_\_\_\_